



**TCB
Audit
Services**
QUALITY AND INTEGRITY

Assessment Plan & CAR Summary

Assessment Plan & CAR Summary Report

Heubach Corporation

TCB Number 21003

ISO 9001:2015

Quality Management Systems-Requirements

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Disclaimer: It is important to note that auditing is a sampling operation and not every activity or record in your management system will be reviewed; therefore, deficiencies may exist that have not been identified by the audit team. Certification does not absolve you from the responsibility of correcting deficiencies found by yourselves to ensure that compliance with the management system standard is fully maintained. This report shall be read in coordination and conjunction with the audit plan and the assessment report.

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1 Introduction

- 1.1 This document details the assessment plan and history for the current three-year certification period and is in accordance with the approved quotation worksheet.
- 1.2 It shows the outcomes of each assessment by entering those elements that are either compliant (column 2 in each audit column) or non-compliant in which case a CAR will have been issued (column 3).
- 1.3 Individual CARs are not issued as the details of all non-conformances are entered onto the CAR summary in section 3.
- 1.4 All CARs require a written response within thirty days outlining the cause of the deficiency and the actions to be taken.
- 1.5 Minor CARs do not need to be closed and can be re-assessed for effectiveness of corrective action at the next audit.
- 1.6 Major CARs should be closed or at least reduced to Minor status within three months.
- 1.7 Major CARs generated on certification assessments will delay certification as certification cannot proceed if there are any Major CARs that have not been either closed or reduced to Minor status.
- 1.8 If for any reason you are experiencing difficulties in closing out CARs within the agreed timeframe, please feel free to discuss this with your assessor.
- 1.9 The objective of all assessments is to:
 - evaluate the level of compliance with the organization's management system (processes and documentation) against the standard(s) detailed on the front page of this assessment plan & CAR summary.
 - determination of the ability of the management system to ensure that the organization meets applicable statutory, regulatory and contractual requirements.
 - evaluation of the effectiveness of the management system to ensure the organization is continually meeting its specified objectives.
 - identify areas of potential improvement within the management system.

② Company Details

2.1 Company address(es)

2713 Industrial Lane, Garland, TX 75041

2.2 Scope of certification

Scope:

Fabrication and conversion of foam and other flexible materials.

IAF Code(s):

14

2.3 Company contact person

Name: Matt Eltz and Brien

E-mail address: matt@heubachcorp.com; brien@heubachcorp.com

Phone number/s: 214-291-0238

③ Three Year Assessment Plan

Clause number	ISO 9001:2015 Quality Management Systems-Requirements	Site(s) Audited	1.0			1.2			1.4		
			Planned	Complies	Non-Compliant	Planned	Complies	Non-Compliant	Planned	Complies	Non-Compliant
4.1	Understanding the organization & context		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.2	Needs & expectations of interested parties		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.3	Determining the scope of the QMS		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.4	QMS & its processes		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.1	Leadership & Commitment		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.2	Policy (establishing & communicating)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.3	Organizational roles & responsibilities		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.1	Actions to address risks & opportunities		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.2	Quality objectives & plans to achieve them		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.3	Planning of changes		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.1	Resources		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.2	Competence		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.3	Awareness		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.4	Communication		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.5	Documented information		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.1	Operational planning & control		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.2	Requirements for products & services		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.3	Design & development of products & services		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.4	Control of externally provided processes/prod/serv.		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.5	Production & service provision		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.6	Release of products & services		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.7	Control of non-conforming outputs		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.1	Monitoring, measurement, analysis & evaluation		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.2	Internal audit		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.3	Management review		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.1	General Improvement		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.2	Nonconformity & corrective action		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.3	Continual improvement		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
N/A	Use of logo & certification marks		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Assessment type			Re/Certification			Surveillance			Surveillance		
Assessment number			1.0			1.2			1.4		

Assessment sites/locations are listed below		# days(date/s)		# days(date/s)		# days(date/s)	
Garland, TX		1.5(May 2&4, 2022)		Date(s)		Date(s)	

NOTE 1: Assessors are to enter the actual audit dates(s) per site/location in brackets after the number of planned days.

NOTE 2: Assessors must at all times conduct the audits at the planned sites/locations for the duration (number of auditor days) specified in the plan above.

NOTE 3: Assessors must make note which elements of the standard and which process and/or activity is reviewed at each site.

IN ADDITION TO PLANNED ELEMENTS THE AUDITOR WILL ALSO FOLLOW UP ON ANY PREVIOUSLY IDENTIFIED CAR ACTION REQUESTS (CARs).

4 CAR Summary

Note to auditors - Copy and paste the table below for each CAR (for multi-site organizations make note of which site the CAR is generated for)

Details of Corrective Action							
Date	Visit #	CAR number	Ma Mi	Standard	Clause #	Details of CAR	Client's agreed corrective action
May 4, 2022	1.0	1	Mi	ISO 9001:2015	9.2	The organization has yet to conduct the 2022 internal audit of its QMS, however, they have shown compliance to this element of the standard in the past and have trained personnel to conduct the audits as well as a plan to complete them before the end of Q2 2022.	The internal audit will be conducted before the end of June 2022.
Details of root cause analysis					CAR close out details		Close out date

⑤ Standard Alignment for Process Approach Auditing Techniques

We embrace a process approach to all assessments of your management system and we will focus on the processes governing your customer relations, manufacturing, service delivery, management functionality, and continuous improvement as they relate to the elements of the standard(s) selected for your assessment. The interrelationship of your organization's processes/activities and the elements of the standard(s) will be reviewed as per this Assessment Plan and CAR Summary.